

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

IAP3 Rec'd PCT/PTO 14 DEC 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 3455.1000-005
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/561209
INTERNATIONAL APPLICATION NO. PCT/US2004/019187	INTERNATIONAL FILING DATE 16 June 2004 (16.06.2004)	EARLIEST PRIORITY DATE CLAIMED 20 June 2003 (20.06.2003)
TITLE OF INVENTION Process for Reducing Surface Aberrations		
APPLICANT(S) FOR DO/EO/US David J. Smith and Michael C. Andrews		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 pgs) with 1449 (2 pgs), with copy of reference and International Search Report. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment (10 pgs) with copy of Amendment Under Article 34. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input type="checkbox"/> Other items or information: 		

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<p>The following fees have been submitted</p> <table border="1"> <thead> <tr> <th colspan="2"></th> <th>CALCULATIONS</th> <th>PTO USE ONLY</th> </tr> </thead> <tbody> <tr> <td>21. [X] Basic national fee (37 CFR 1.492(a))</td> <td>\$300</td> <td>\$300</td> <td></td> </tr> <tr> <td>22. [X] Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$0</td> <td></td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$200</td> <td>\$200</td> <td></td> </tr> <tr> <td>23. 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The fee is \$250 for each additional 50 sheets of paper or fraction thereof. </td> <td></td> </tr> <tr> <td>Total Sheets</td> <td>Extra sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td>RATE</td> </tr> <tr> <td>42 - 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> </tr> <tr> <td colspan="3">Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).</td> <td>\$</td> </tr> <tr> <td>CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td>Total claims</td> <td>48 - 20 =</td> <td>28</td> <td>x \$50</td> </tr> <tr> <td>Independent claims</td> <td>7 - 3 =</td> <td>4</td> <td>x \$200</td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360</td> </tr> <tr> <td align="center">TOTAL OF ABOVE CALCULATIONS =</td> <td></td> <td>\$3,100</td> <td></td> </tr> <tr> <td colspan="3"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. </td> <td>\$</td> </tr> <tr> <td align="right">SUBTOTAL =</td> <td></td> <td>\$3,100</td> <td></td> </tr> <tr> <td colspan="3">Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).</td> <td>+ \$</td> </tr> <tr> <td align="right">TOTAL NATIONAL FEE =</td> <td></td> <td>\$3,100</td> <td></td> </tr> <tr> <td colspan="3">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</td> <td>+ \$</td> </tr> <tr> <td align="right">TOTAL FEES ENCLOSED =</td> <td></td> <td>\$3,100</td> <td></td> </tr> <tr> <td colspan="3"></td> <td>Amount to be refunded: \$</td> </tr> <tr> <td colspan="3"></td> <td>Amount to be charged: \$</td> </tr> </tbody> </table>					CALCULATIONS	PTO USE ONLY	21. [X] Basic national fee (37 CFR 1.492(a))	\$300	\$300		22. 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:	PCT/US2004/019187	3455.1000-005

- a. A check in the amount of \$3,100 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 08-0380 in the amount of \$[] to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 08-0380. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

Customer No. 021005
 HAMILTON, BROOK, SMITH & REYNOLDS, P.C.
 530 Virginia Road
 P.O. Box 9133
 Concord, MA 01742-9133



 SIGNATURE

Alice O. Carroll

NAME

33,542

REGISTRATION NUMBER

10/561209

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AOC/law
12/12/05

PATENT APPLICATION
Docket No.: 3455.1000-005

IAP9 Rec'd PCT/PTO 14 DEC 2005

IN THE UNITED STATES RECEIVING OFFICE (RO/US)
Designated/Elected Office (DO/EO/US)

U.S. National Stage of

International Application No.: PCT/US2004/019187

International Filing Date: 16 June 2004

Earliest Priority Date Claimed: 20 June 2003

Applicants: David J. Smith and Michael C. Andrews

Title: PROCESS FOR REDUCING SURFACE
ABERRATIONS

Attorney's Docket No.: 3455.1000-005

Date: 14 December 2005

EXPRESS MAIL LABEL NO. EVQ1490645US